

# Studies for a Level-3 Trigger at HERA-B

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# Contents

- The HERA-B experiment
- Trigger system
- Operating environment of a **Third Level Trigger (TLT)**
- **Monte Carlo studies** for a TLT using the experiment's **Silicon Vertex Detector (SVD)**

# HERA-B

Measurement of CP violation in

$$B \rightarrow J/\psi K_S \quad \text{and} \quad B \rightarrow \pi^+\pi^-$$

$\searrow$   
 $\pi^+\pi^-$

Consistency check of Standard Model description of CP violation

$$\sim (\bar{u}, \bar{c}, \bar{t})_L \gamma_\mu \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}_L$$

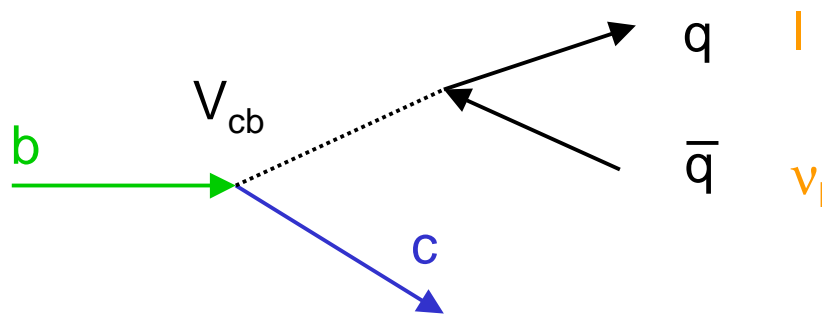
CKM matrix

CP asymmetries:

$$\frac{N(B^0 \rightarrow f) - N(\bar{B}^0 \rightarrow f)}{N(B^0 \rightarrow f) + N(\bar{B}^0 \rightarrow f)} \rightarrow \text{extract CKM phase}$$

# Semileptonic B Decays

- reduced hadronic uncertainties



- $B_d$ ,  $B_s$  mixing, CKM elements, ...
- example:  $V_{cb}$  measurement using

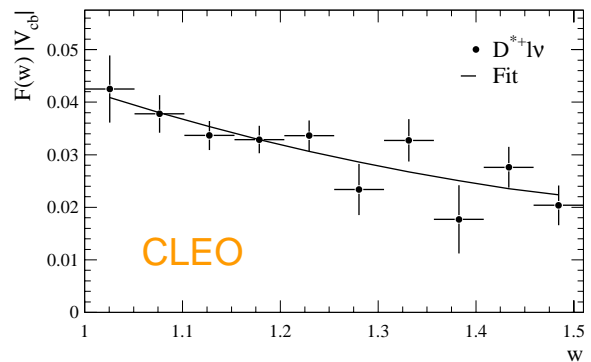
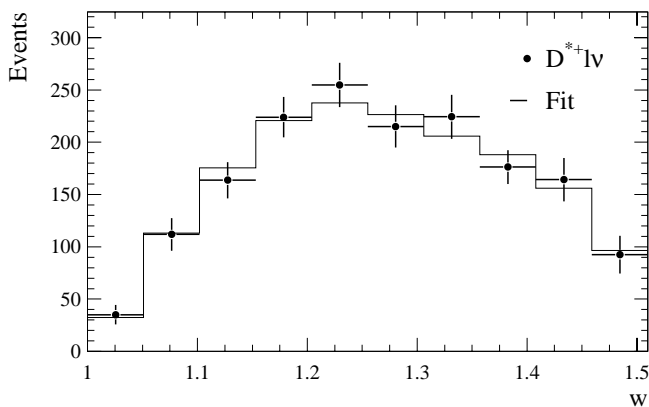
$$B^0 \rightarrow D^{*-} l^+ \nu_l$$

$$\quad \hookrightarrow \bar{D}^0 \pi_s^-$$

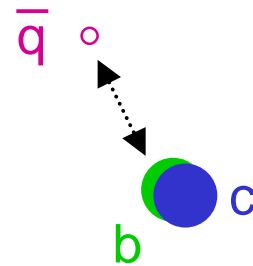
$$\frac{d\Gamma}{dw} \propto G_F^2 K(w) \underbrace{|V_{cb}|^2 F^2(w)}_{\text{hadronic}} \quad \text{with } w = v_B \cdot v_{D^{*-}}$$

→ measure as function of  $w$  and extrapolate to  $w=1$

# $V_{cb}$ Measurement



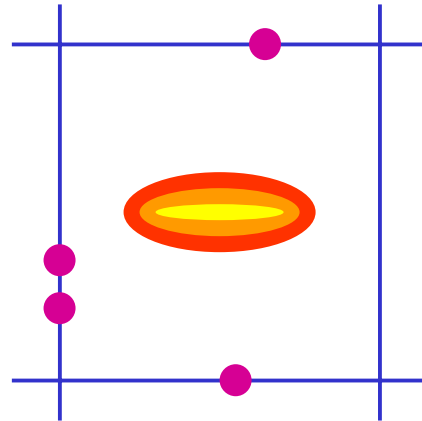
- at  $w=1$   $q^2$  is maximal
- Heavy Quark Effective Theory predicts  
 $F(1)=0.914 \pm 0.042$



- experimental challenge:  $K(1)=1$  and  $\pi_s$  momentum is low near  $w=1 \rightarrow$  poor reconstruction efficiency if overall boost is low

# Hadronic B Factory

- proton-nucleon collisions at a **wire target**
- $\sqrt{s} = 42 \text{ GeV}$



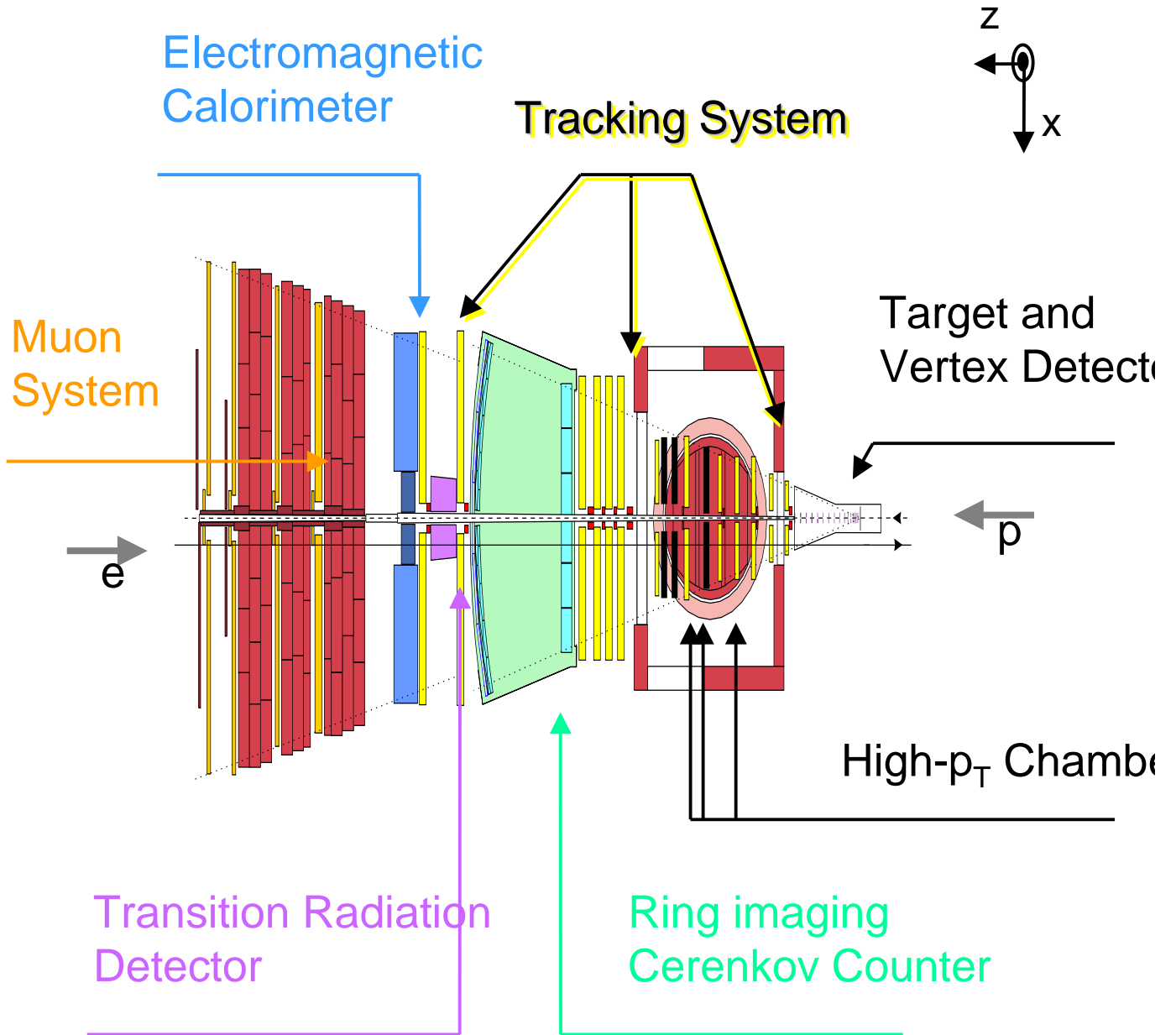
- **branching ratios** of sought channels in the order of  $10^{-5}$
- fraction of B events:  $\frac{\sigma_{b\bar{b}}}{\sigma_{\text{inelastic}}} = \frac{\approx 10 \text{ nb}}{13 \text{ mb}} = 10^{-6}$

## Experimental challenge:

- **10 MHz event rate** and several **superimposed interactions** per event
- fast and radiation-hard detectors

→ Triggering is the key to B physics

# The HERA-B Detector

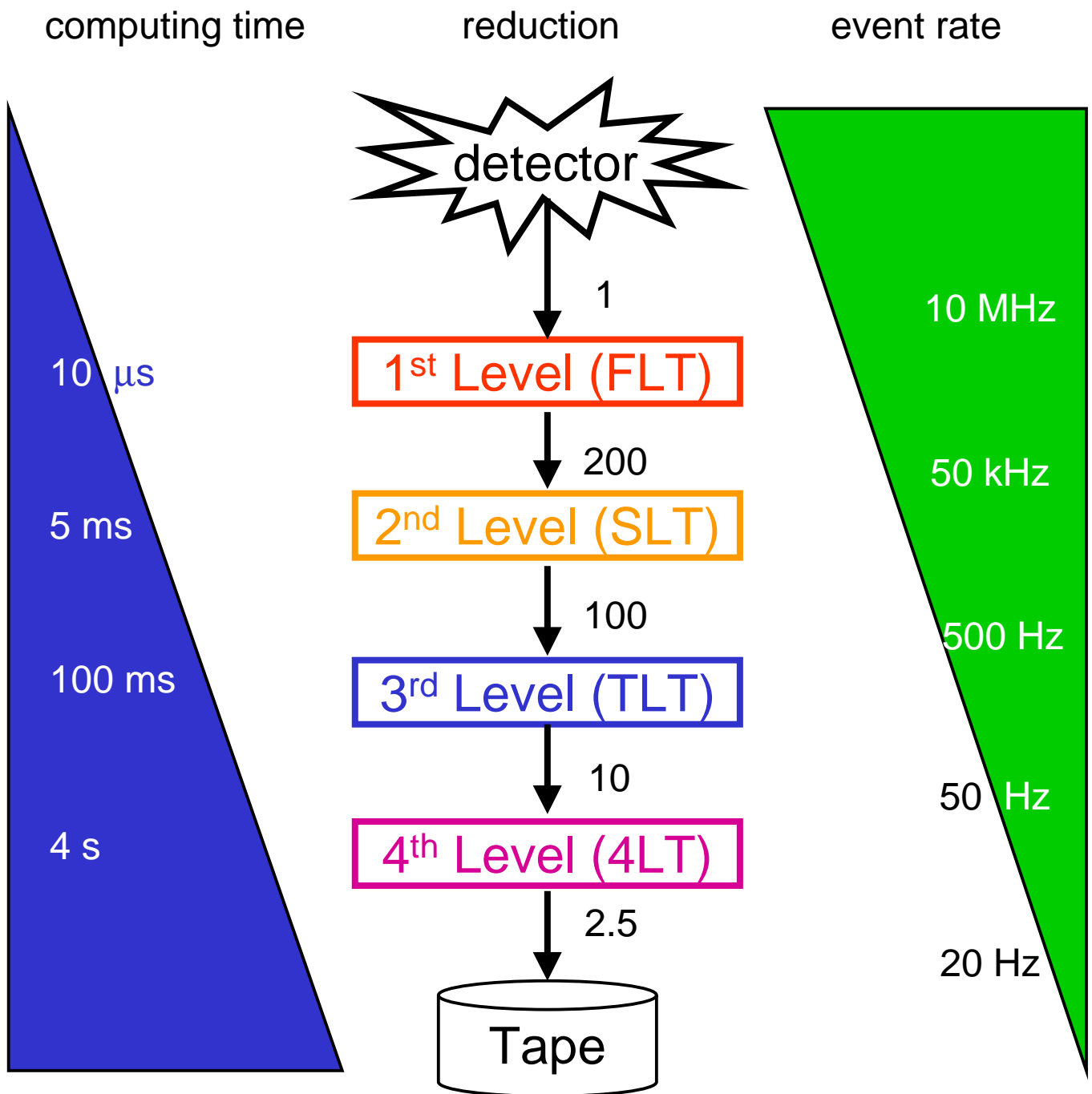


# Trigger Strategy

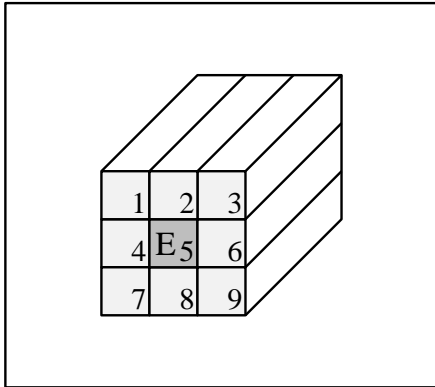
- use **pretrigger**
- reconstruct electrons, muons and high- $p_T$  hadrons in **Regions of Interest (RoI)**
- develop Rols into tracks behind the magnet
- apply kinematic cuts
  - **invariant mass trigger**: two unlike-sign tracks of the same flavour ( $B \rightarrow h^+h^-$ ,  $J/\psi \rightarrow l^+l^-$ )
  - **count trigger**: cut on  $p_T$ , combination of track charges and flavours
- **refine** kinematic cuts using more (and more precise) **information about RoI particles**
- use **data outside of Rols**
  - when everything else fails



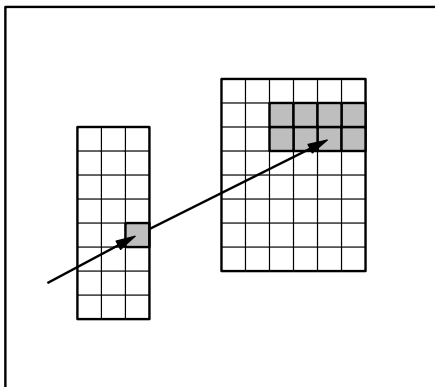
# Trigger Levels



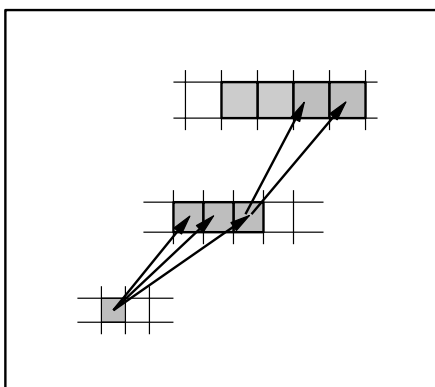
# Pretrigger Sources



- Localised cluster in calorimeter above energy threshold
- $p_T \geq 1 \text{ GeV}$

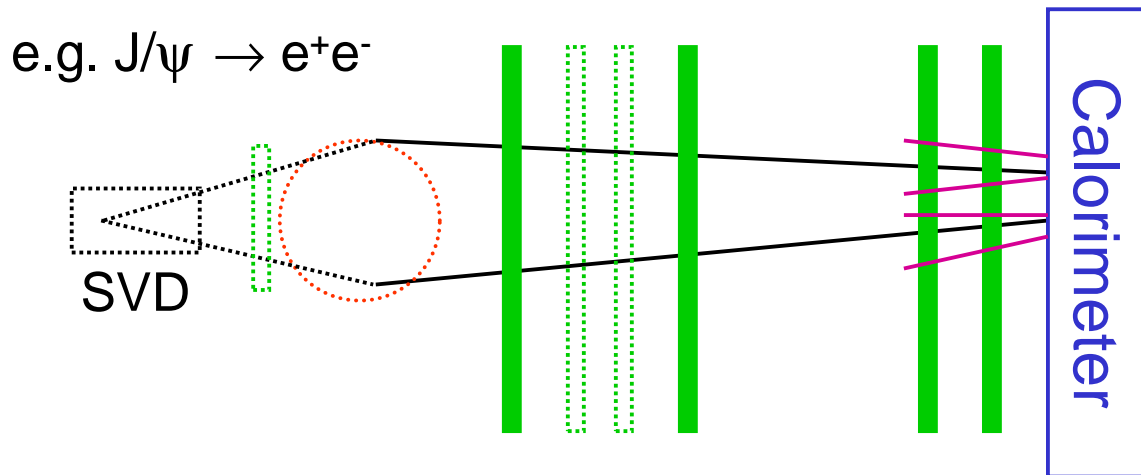


- muon track candidates
- combination of fired pads in the last two muon superlayers



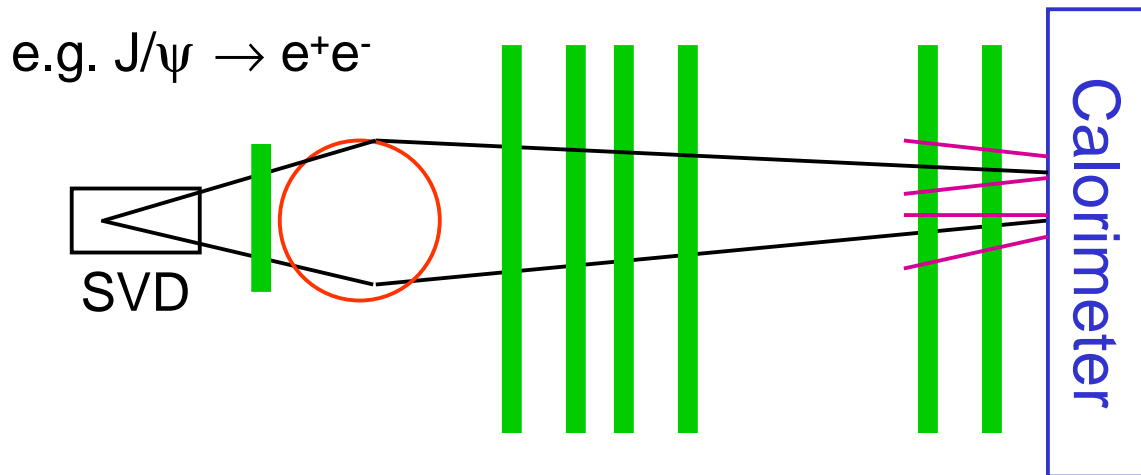
- High- $p_T$  ( $\geq 1.5 \text{ GeV}$ ) track candidates
- fired pads in the three high- $p_T$  superlayers in the magnetic field

# First Level Trigger



- develops **Rols** supplied by the pretriggers into tracks behind the magnet
- uses **hit data** from selected **superlayers**
- estimate momentum using target constraint
- calculate invariant masses of track pairs and  $p_T$

# Second Level Trigger



- gets **Rols** from FLT
- repeat tracking in **Rols** using more **superlayers** and the **full chamber resolution**
- propagate Rol thru the magnetic field
- reconstruct trajectories in SVD Rols
- **vertexing** with trigger particles or impact parameter cuts

# Trigger Implementation

## FLT

- custom-made electronics
- **processors** assigned to detector region

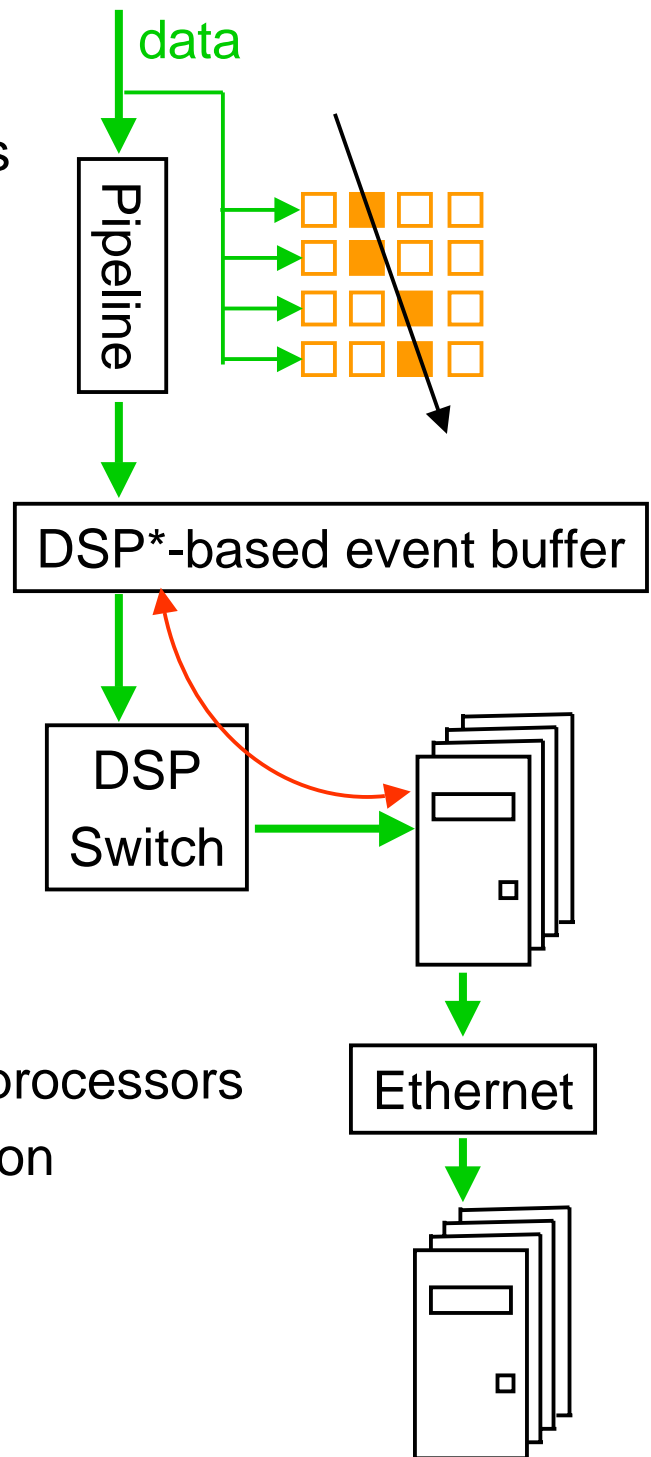
## SLT

- Linux PC farm with 240 processors
- processors assigned to events

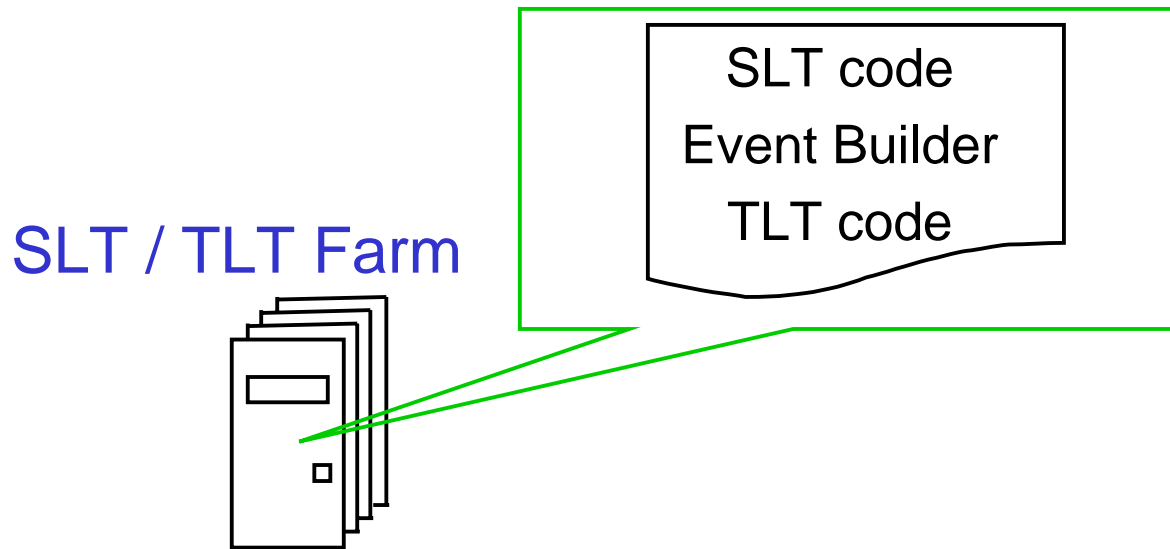
## 4LT

- Linux PC farm with 200 processors
- online event reconstruction
- check quality of run data

\* Digital Signal Processor



# Where is the TLT ?



- same processor which made the SLT decision
- after the event building
- has the **full event data** at its disposal
- input rate is 500 Hz
- expected reduction is 10
- available **time per event** is limited to **100 ms**

# What is the TLT ?

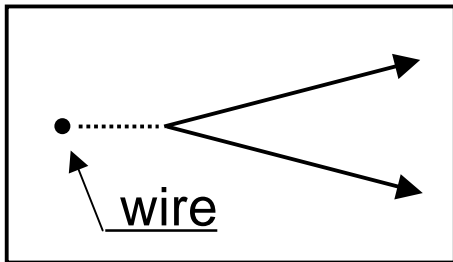
**Definition** (after Technical Design Report) :

A system which is to supply additional suppression when the information in the Rols does not suffice to bring down the rate to an acceptable level (at a reasonable signal efficiency).

- **uses data outside of the Rols**
- targeting at:
  - decay modes with potentially fewer kinematic constraints than  $J/\psi \rightarrow l^+l^-$
  - trigger settings that are likely to require more suppression (in particular single FLT-SLT track triggers)
- extend HERA-B's physics program

# Trigger Examples

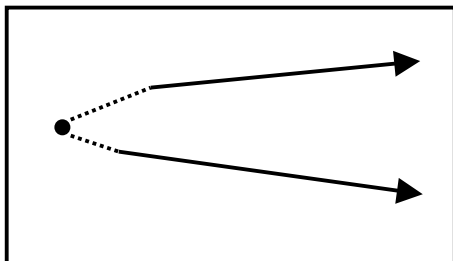
a)  $B \rightarrow J/\psi(\rightarrow l^+l^-) X$



→ without TLT

- **Pretrigger:** lepton ID,  $p_T$
- **FLT:** tracks,  $p_T, M_{inv}$
- **SLT:**  $p_T$ , track quality,  $M_{inv}$ , same wire, vertex quality and position, momentum sum points back to wire

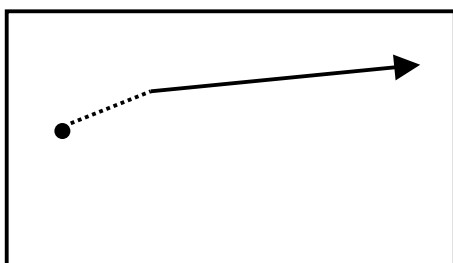
b)  $BB \rightarrow ll XY$



→ TLT candidate

- **Pretrigger:** lepton ID,  $p_T$
- **FLT:** tracks,  $p_T$
- **SLT:**  $p_T$ , track quality, same wire, impact parameter cuts

c)  $B \rightarrow l X$

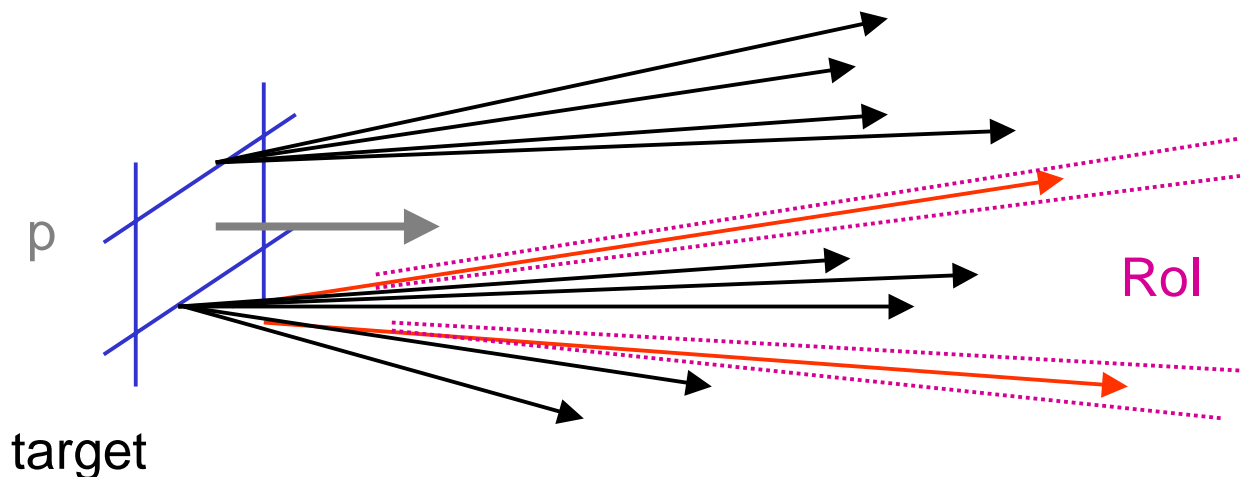


→ strong TLT candidate

- like (b)
- cuts can only be applied to one track



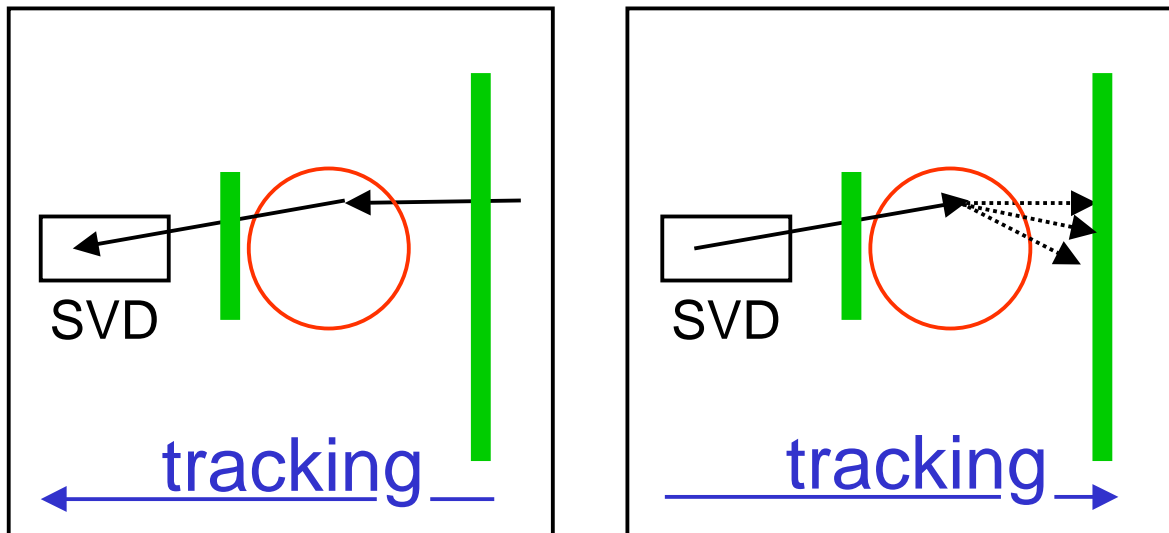
# TLT Strategy



- local pattern recognition in subdetectors possible
- usage of more than one subdetector too close to complete event reconstruction
- interaction (i.e. target wire) which caused the trigger already known at SLT
- standalone information of PID devices (calorimeter, Cerenkov counter) difficult to relate to the triggered interaction

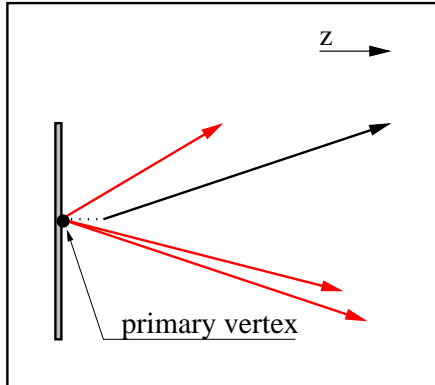
→ use Silicon Vertex Detector

# Silicon TLT

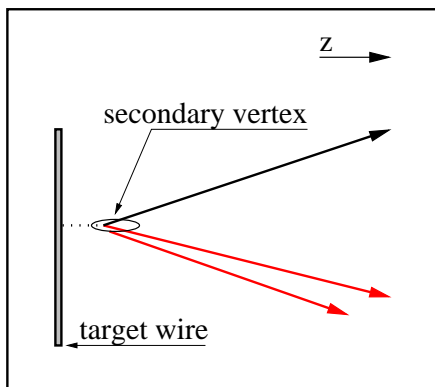


- **Pros:**
  - SLT information can partially be exploited as starting point
  - only tracks from SLT wire have to be reconstructed
- **Cons:**
  - no momentum information for found tracks
    - track resolution might suffer
    - no kinematic decay reconstruction
  - tracking SVD → Magnet more difficult than Magnet → SVD (target constraint usable)
    - prolongation of tracks complicated

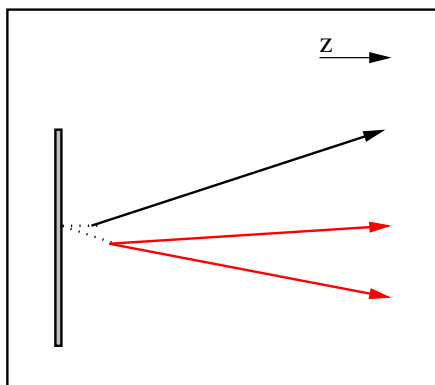
# TLT Approaches



- find **primary vertex**
- place cuts on distance **track-vertex** instead on **track-wire**



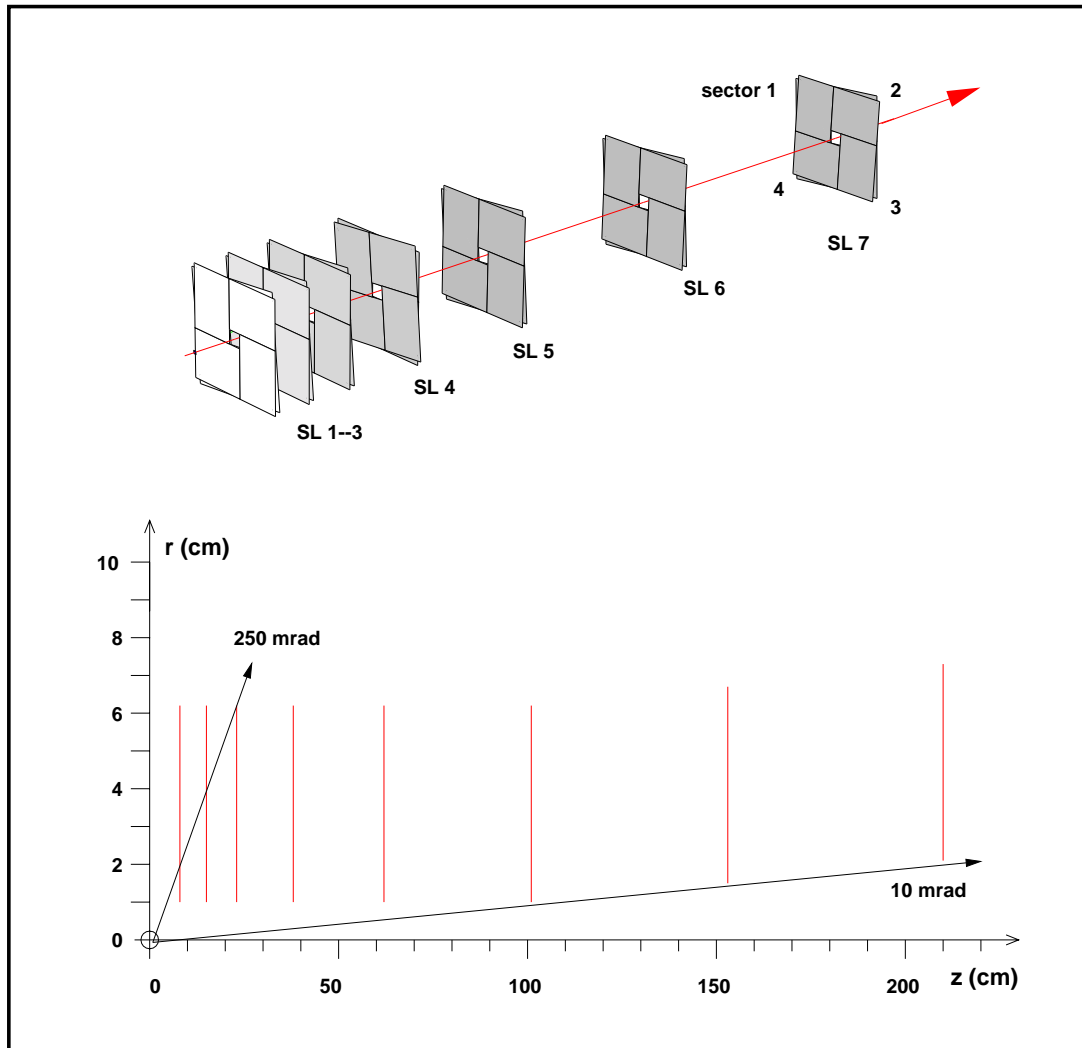
- search for **secondary vertices** downstream of target wire



- search for **tracks with large impact parameters** outside of the Rols

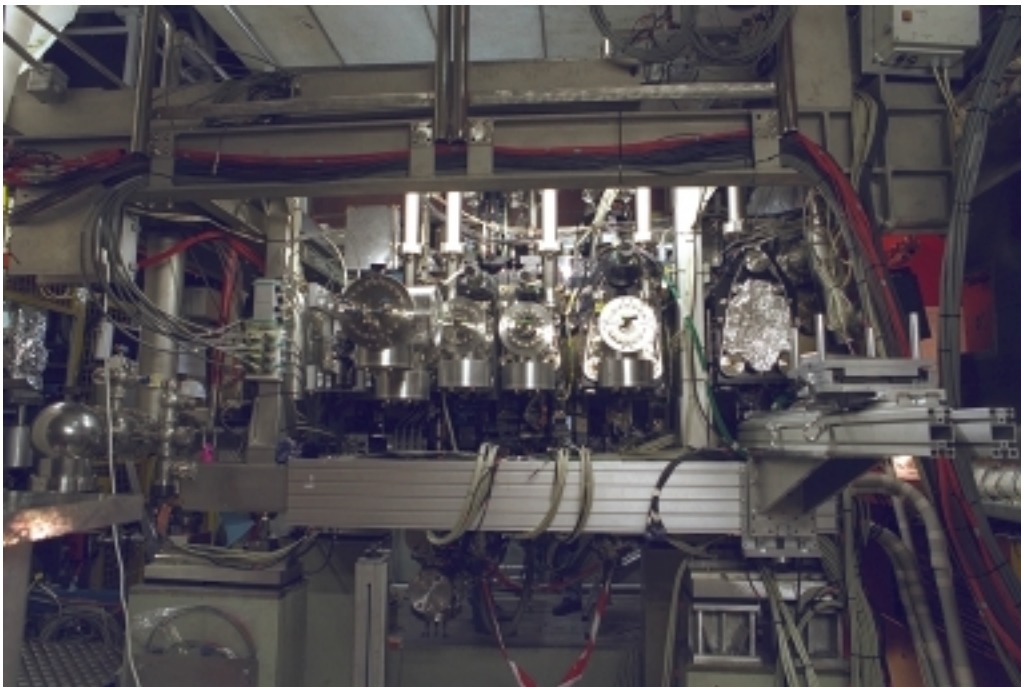
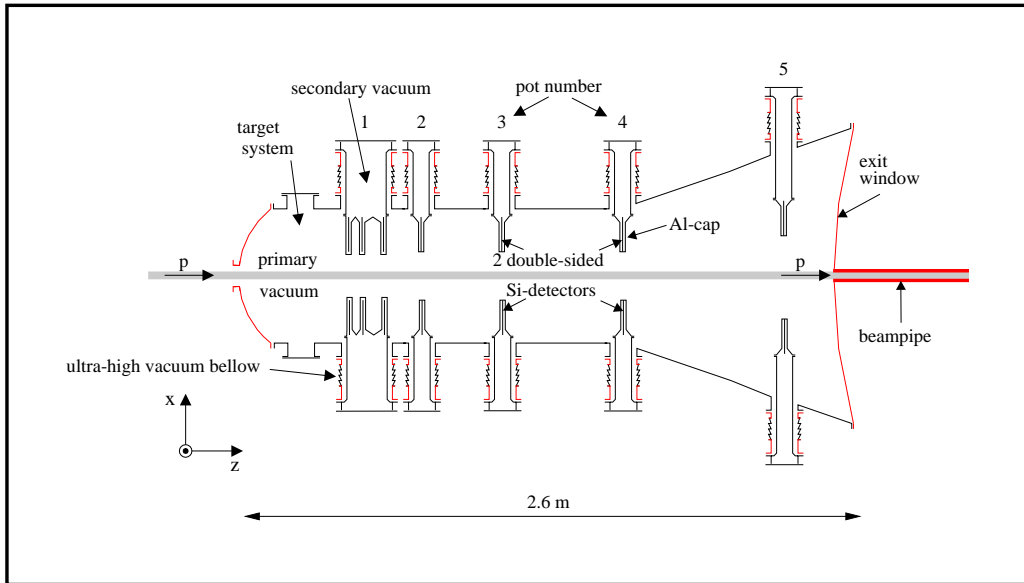
SLT tracks / **TLT tracks**

# Silicon Vertex Detector

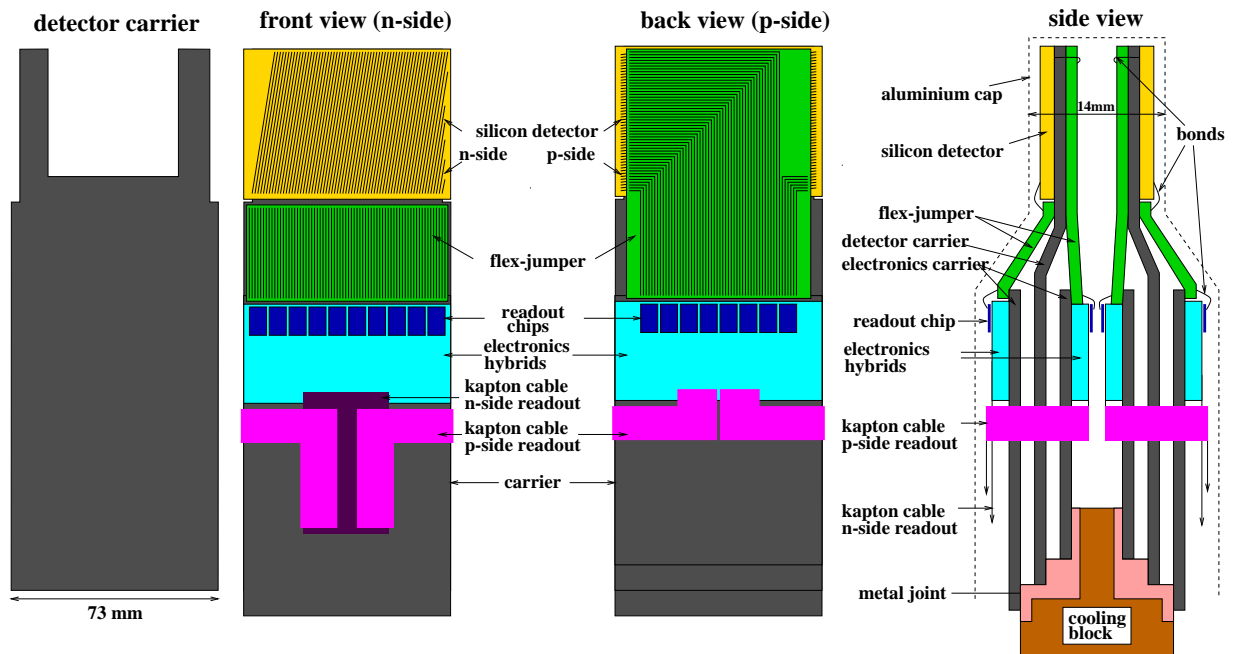


- 7+1 superlayers
- 150,000 readout channels
- acceptance 10 - 250 mrad
- 4 sectors per superlayer

# Vertex Vessel



# SVD Modules

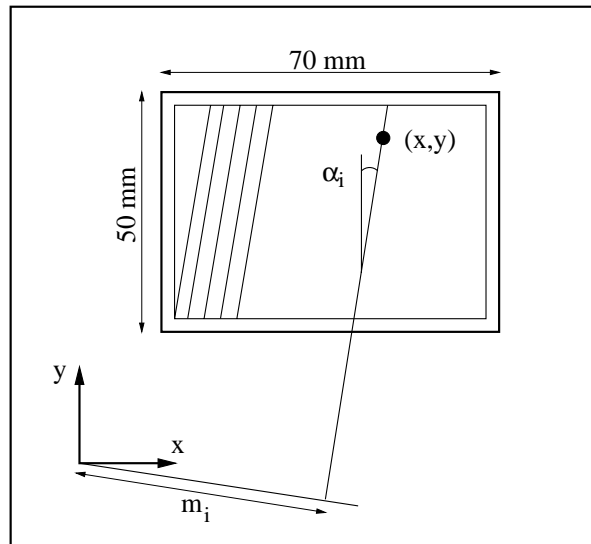


- double-sided silicon detectors ( $280\ \mu\text{m}$ )
- $50\ \mu\text{m}$  readout pitch
- 4 stereo angles:  $\pm 2.5^\circ$  with respect to x- and y-axis

# Work on TLT Studies

- **SVD pattern recognition**
  - **TLT tracking** by S. Scharein
    - sufficiently fast
    - reconstructed tracks online, used on the 4LT farm for data quality checks
  - standard SVD reco **CATS**
    - better efficiency than TLT tracking
    - competitive timing
- **track fit**
  - Kalman filter
- **trigger algorithms**

# Track Fit



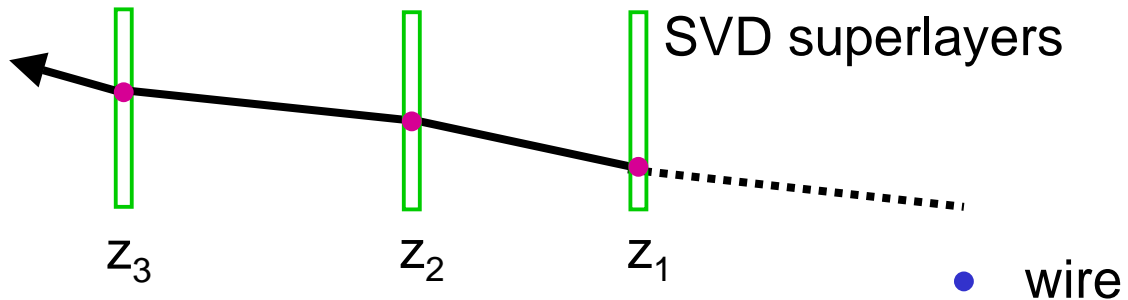
- determine **track parameters at target**
- track resolution is **limited by MS** in detector material
  - single hit resolution is  $12 \mu\text{m}$
  - MS angle for 1 GeV particle in  $280 \mu\text{m}$  Si is  $0.7 \text{ mrad}$   $\rightarrow$   $70 \mu\text{m}$  offset when extrapolating over 10 cm
- 4-dim. track vector:

$$t = (x(z_{ref}), y(z_{ref}), t_x, t_y)$$

- measurement:  $m_i = x \cos \alpha_i - y \sin \alpha_i$



# Global Track Fit



- obtains global track parameters

$$(Ht - m)^T V^{-1} (Ht - m) \rightarrow \min$$

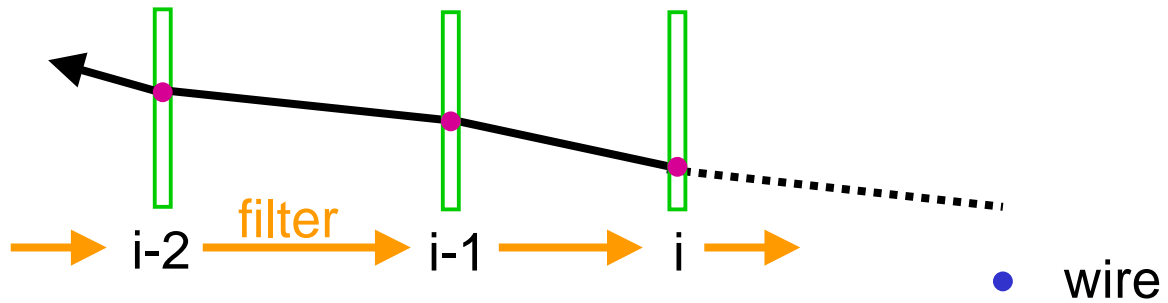
↑      ↑      ↑

maps global  $t$  to local measurements      covariance matrix of  $m$

vector of measurements

- only applicable for tracks which do not suffer much from MS ( $p_{\text{track}} > 8 \text{ GeV}$ )

# Kalman Filter



- provides the best estimate for the **local track parameters** at each  $z_i$

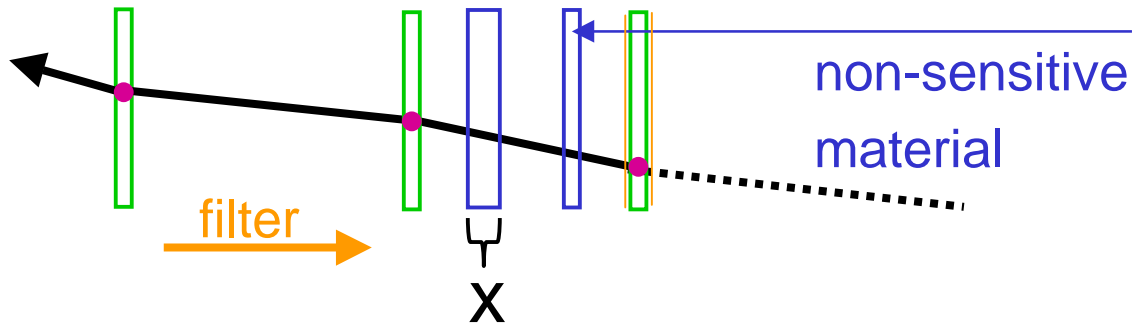
$\hat{t}(z_i) = F(z_i, z_{i-1})t(z_{i-1})$ 
  
transport of track parameters

$C(z_i) = F(z_i, z_{i-1})C(z_{i-1})F^T(z_i, z_{i-1})$ 
  
covariance matrix of  $t(z_{i-1})$

$$\underbrace{(t - \hat{t})^T C^{-1} (t - \hat{t})^T}_{\text{old/new trackparam.}} + \underbrace{(H_i t - m_i) V^{-1} (H_i t - m_i)^T}_{\text{local measurement}} \rightarrow \min$$

- filter proceeds from measurement to measurement
- predicted track parameters are regarded as additional measurements at each  $z_i$

# Multiple Scattering



- MS dilutes knowledge of track parameters between two measurements
- covariance matrix of track parameters is updated according to amount of MS\*:

$$C'(z_i) = C(z_i) + Q_{MS}$$

$$\sigma^2(t_x) := \sigma^2(t_x) + \theta^2(x/x_0)$$

$$\sigma^2(t_y) := \sigma^2(t_y) + \theta^2(x/x_0)$$

$$\theta(x/x_0) = \frac{13.6 \text{ MeV}}{\beta c p} \hat{z} \sqrt{x/x_0}$$

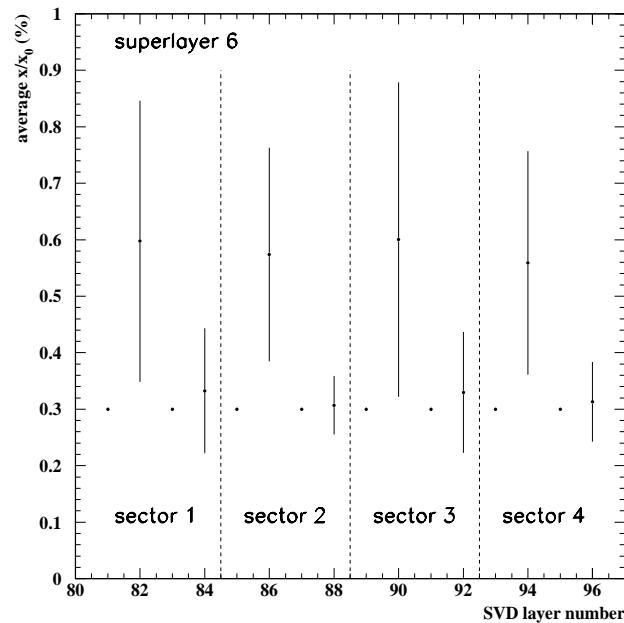
Problems:

momentum not known

evaluation of all scatterers is too time-consuming

\* assumes  $t_x^2 \approx t_y^2 \approx t_x t_y \approx 0$

# Approximating $x/x_0$

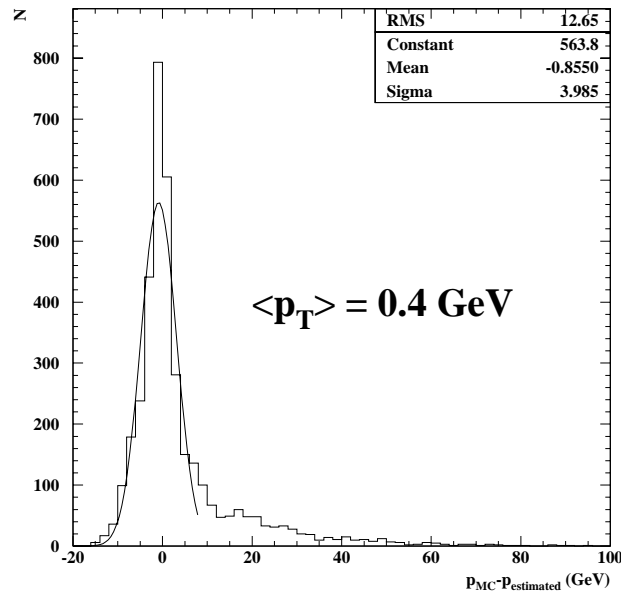


- MC tracks are followed through SVD
- consecutive scatterers are combined into one effective scatterer:

$$\frac{x}{x_0} \Big|_{\text{effective}} = \frac{\sum_{i=1}^M x^{(i)}}{\sum_{i=1}^M x_0^{(i)}}$$

- material traversed between two measurements averaged over counter surface according to radial distribution of MC tracks

# Approximating p

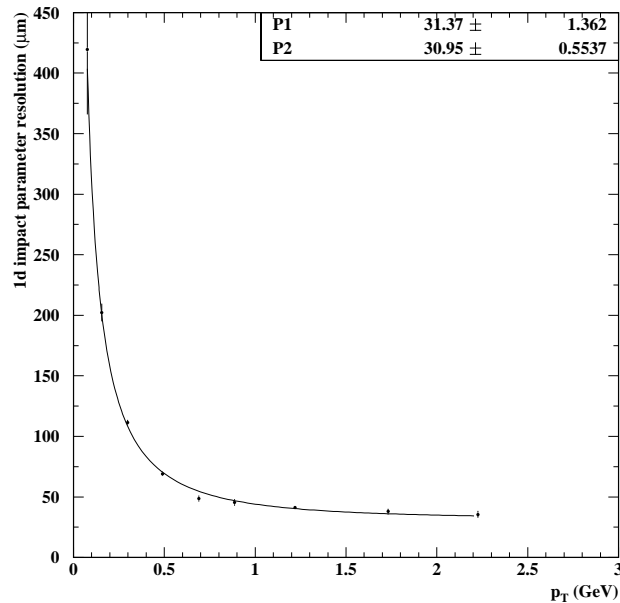


- $t_x$  and  $t_y$  are only available information
- momentum is estimated as:

$$p_{\text{estimated}} = \langle p_T \rangle \sqrt{1 + \frac{1}{t_x^2 + t_y^2}}$$

- average  $p_T$  is adjusted to result in 'reasonable' pulls  $(t_x - t_x^{\text{MC}})/\sigma(t_x)$  for the track parameters
- average  $p_T$  is roughly 0.4 GeV

# Impact Parameter Resolutions



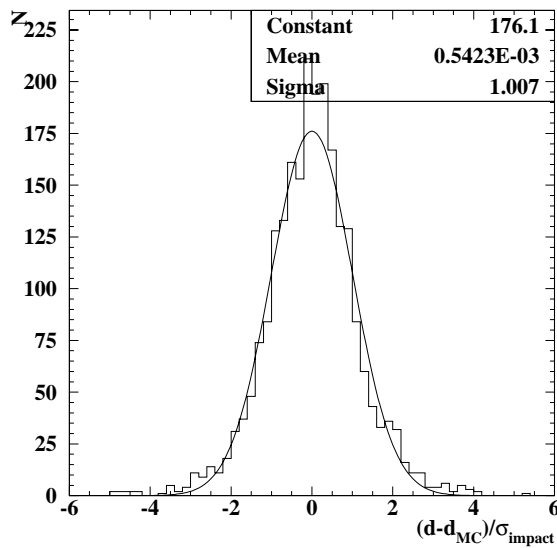
- impact parameter resolution (A,B=const., [A]=μm, [B]= μm·GeV):

$$\sigma(d) = \sqrt{A^2 + (B / p_T)^2}$$

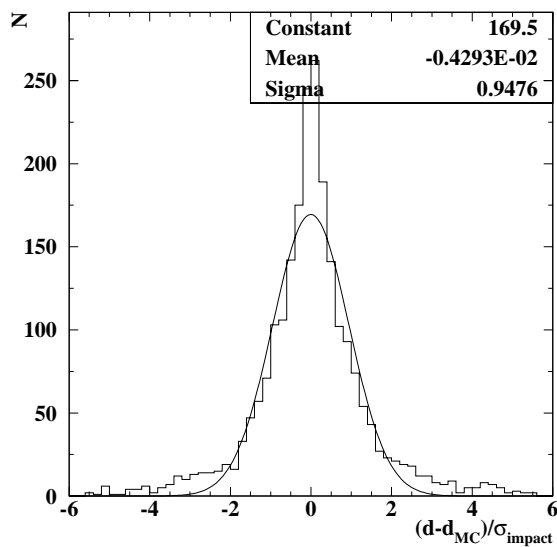
- **results:**

	$\sigma(d)$ (μm)
Ideal PR, p known	$23 \oplus 31/p_T$
Ideal PR, p estimated	$31 \oplus 31/ p_T$
TLT tracking	$31 \oplus 31/ p_T$
HERA-B Proposal	$25 \oplus 30/ p_T$

# Pulls of Impact Parameters

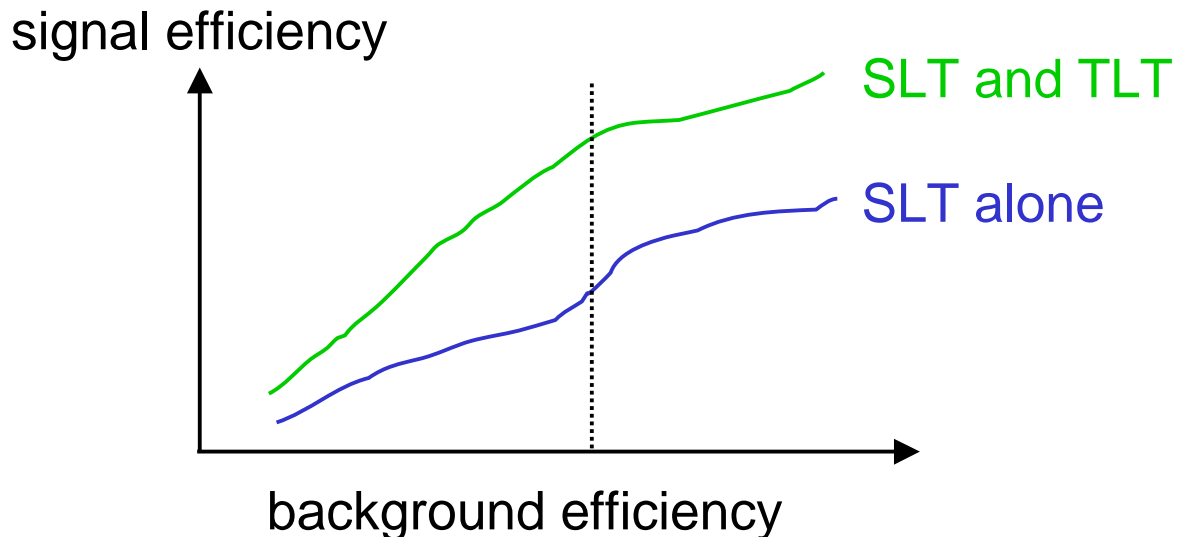


- ideal pattern recognition
- momentum known



- ideal pattern recognition
- momentum estimated

# TLT Studies



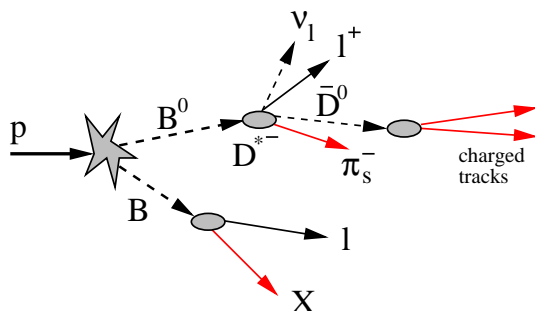
- cuts which will be applied by SLT not precisely known
- even modest cuts on e.g. impact parameters reduce the available MC statistics severely
- investigate whether the joint effort of SLT and TLT results in a higher signal efficiency at the same background suppression



# Data Samples

## Signal Sample

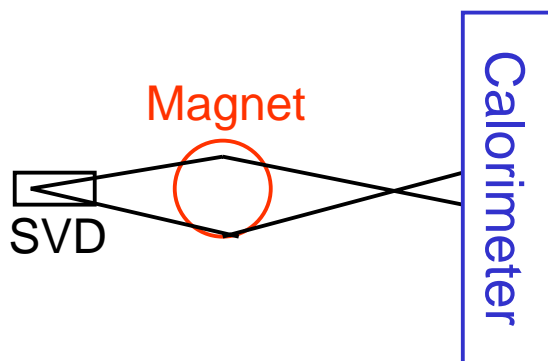
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- Double-semileptonic B decays
- $B^0 \rightarrow l\nu_l D^{*-} (\rightarrow D^0 \pi^-)$
- $p_T^{\text{Leptons}} > 1 \text{ GeV}$

## Misidentification Sample

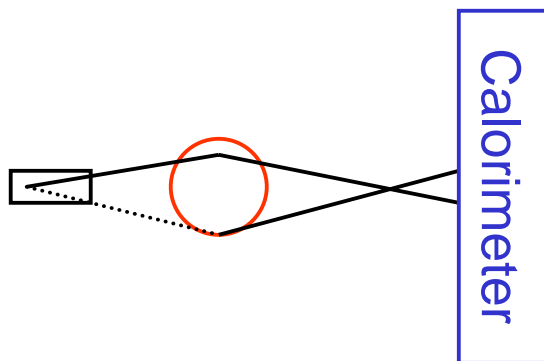
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- High- $p_T$  inelastic events
- two hadrons misidentified as leptons
- $p_T^{\text{Fake leptons}} > 1 \text{ GeV}$

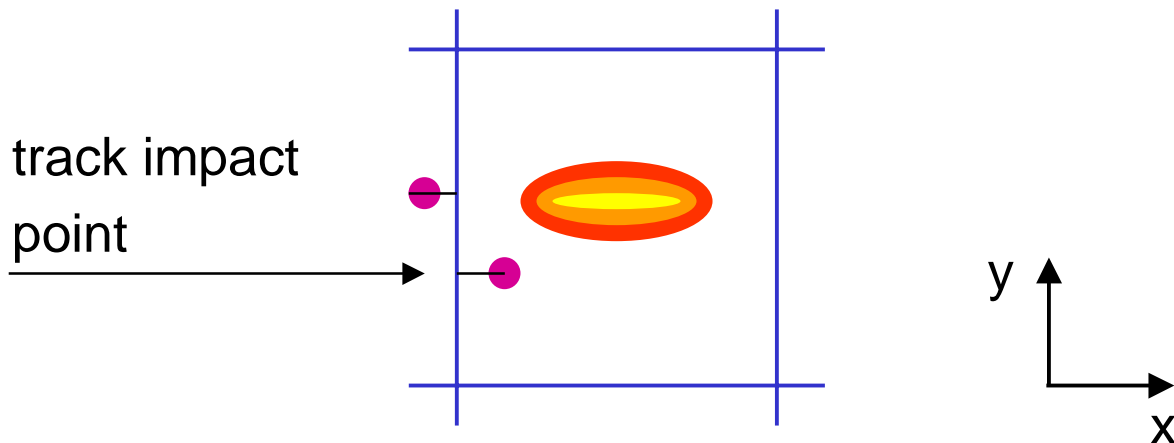
## Tracking Error Sample

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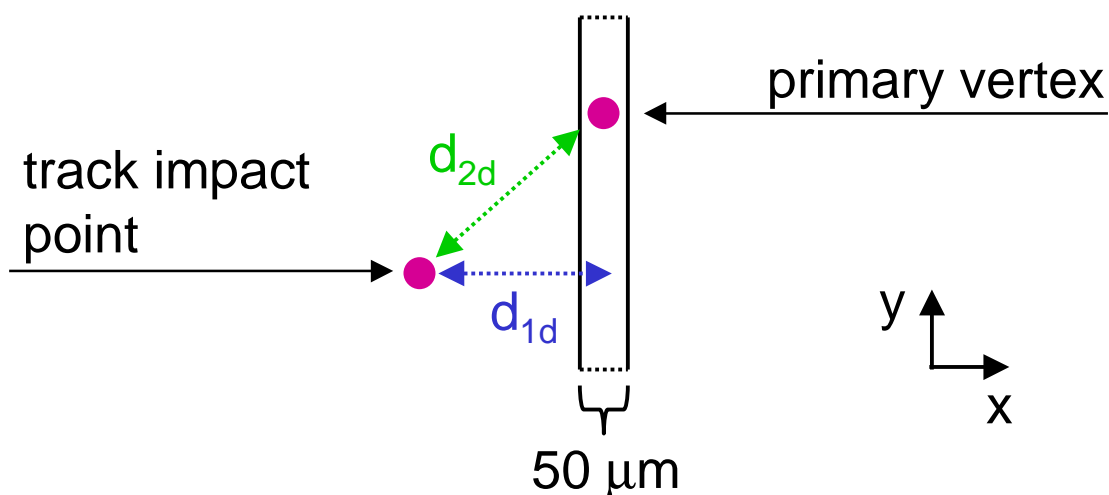
- Like misid sample, but SLT finds one wrong track segment in SVD
- randomly selected 2<sup>nd</sup> trigger particle

# Trigger Simulation



- **FLT**
  - does not resolve target region
  - count trigger assumed
    - $p_T$  cut on trigger particles
    - any flavour combination
- **SLT**
  - ideal pattern recognition for trigger particles
  - acceptance: at least three hits in both x- and y-view
  - Kalman fit using MC momentum
  - assigned to **same target wire** (DCA < 3 mm)
- **TLT**
  - discards tracks sharing >50% of hits with trigger tracks

# Primary Vertex Finding

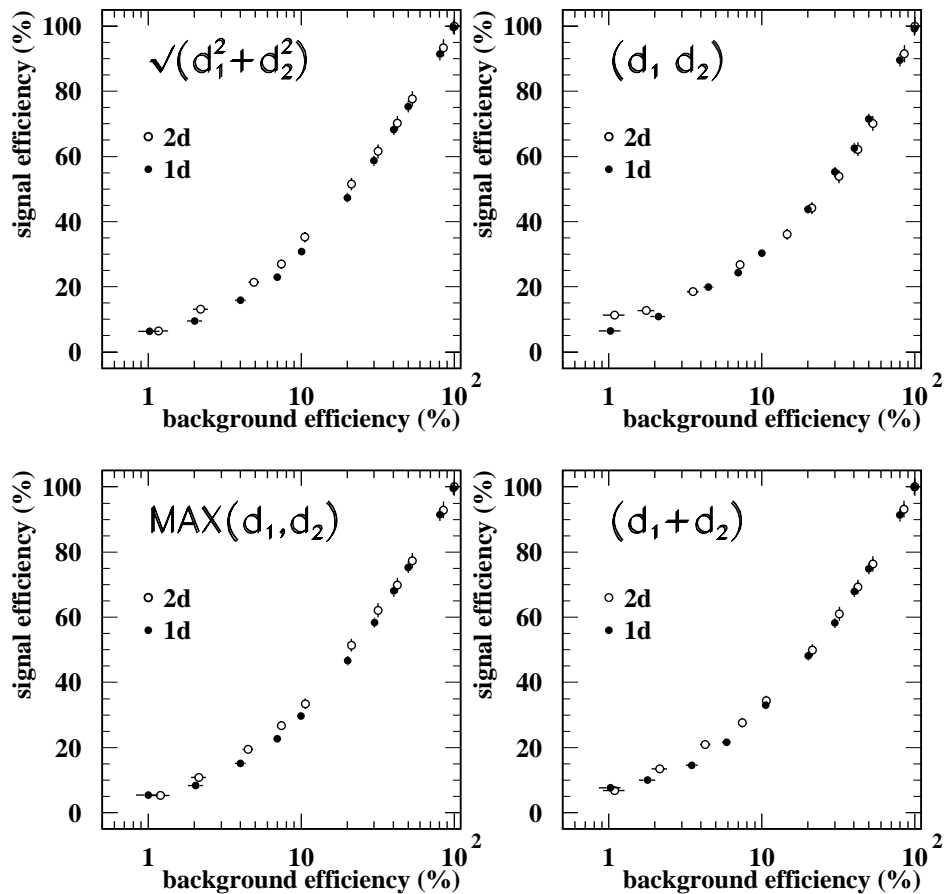


- resolution of primary vertex:
  - $\sigma_x=14 \mu\text{m}$ ,  $\sigma_y=28 \mu\text{m}$ ,  $\sigma_z=143 \mu\text{m}$
- combining IPs  $d_1$  and  $d_2$  of the two trigger particles in four ways:

$$f(d_1, d_2) = \sqrt{d_1^2 + d_2^2}, d_1 \cdot d_2, \max(d_1, d_2), d_1 + d_2$$

- accept events with  $f(d_1, d_2) > \text{cut}$  for both  $d_{1d}$  and  $d_{2d}$
- vary  $\text{cut}$  to obtain desired background suppression

# Result

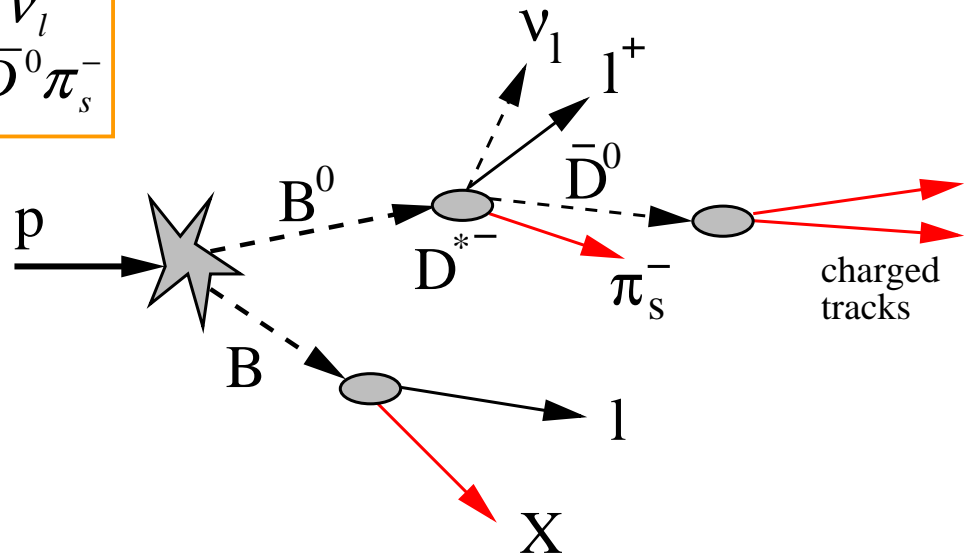


- only weak dependence on choice of  $f(d_1, d_2)$
- using  $d_{2d}$  instead of  $d_{1d}$  does not result in an efficiency gain

# Vertex Finding

$$B^0 \rightarrow D^{*-} l^+ \nu_l$$

$$\quad \quad \quad \hookrightarrow \bar{D}^0 \pi_s^-$$



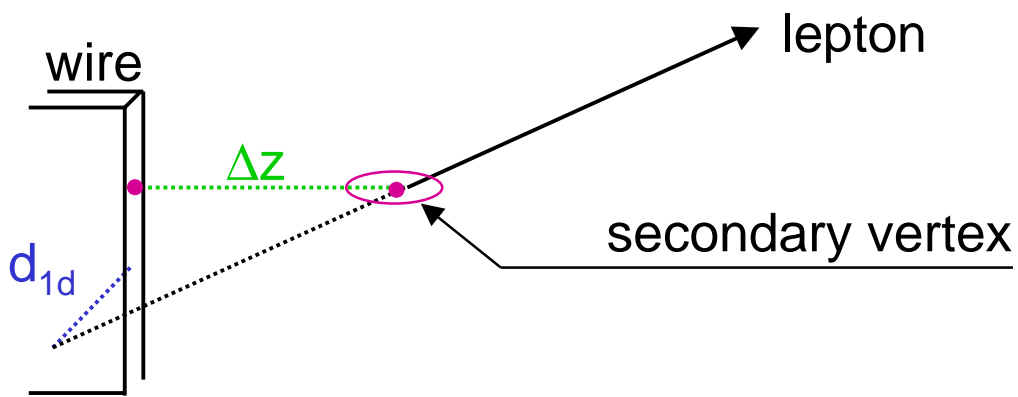
- general-purpose vertex search extremely challenging
- vertexing with SLT leptons in **above channel**

particle	$c\tau$ ( $\mu\text{m}$ )	$\beta\gamma c\tau$ (mm)	$\langle p \rangle$ (GeV)	$\langle p_T \rangle$ (GeV)
$B^0$	468	11.2	131	2.4
$D^{*-}$	$\cong 0$	$\cong 0.0$	67	1.6
$D^0$	124	4.2	63	1.5

- studied vertex resolution and vertex recognition

# Vertex Resolution

Can cuts on  $\Delta z$  compete with impact parameter cuts?



significance of  $d_{1d}$  for trigger lepton with  $p_T \geq 1 \text{ GeV}$ :

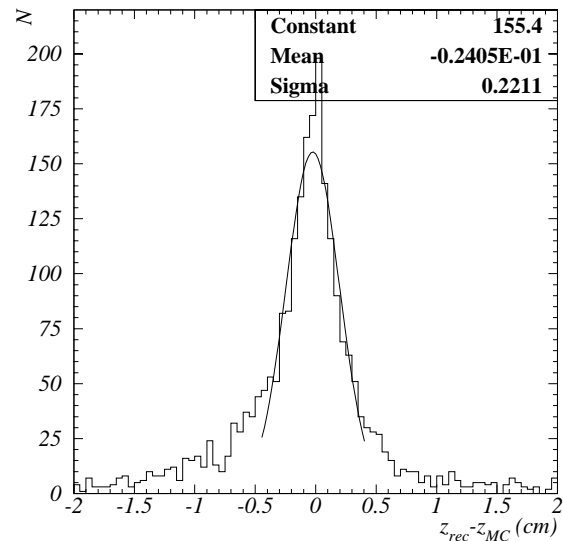
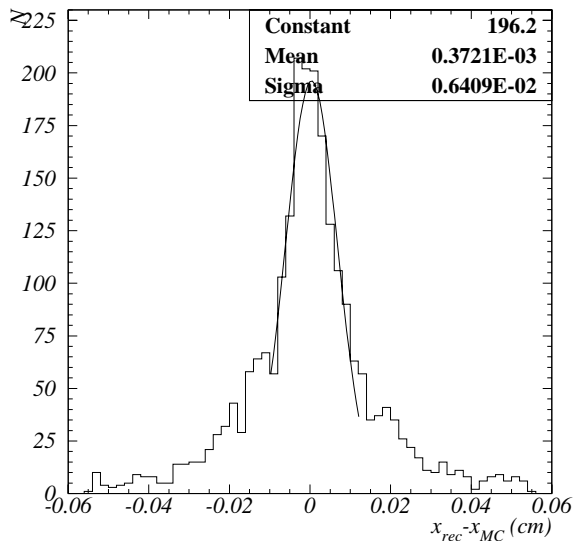
$$\frac{d}{\sigma(d)} \cong \frac{468 \mu\text{m}}{\sqrt{2} \cdot 39 \mu\text{m}} = 8.5$$

required resolution of the vertex coordinate in z:

$$8.5 = \frac{\Delta z}{\sigma(\Delta z)} = \frac{\langle c\tau\beta\gamma \rangle}{\sigma(\Delta z)} = \frac{11.2 \text{ mm}}{\sigma(\Delta z)} \quad \sigma(\Delta z) \cong 1.3 \text{ mm}$$

$J/\psi \rightarrow l^+l^-$  has  $\sigma(\Delta z) \approx 500 \mu\text{m} \dots$

# Lepton- $\pi_s$ Vertex

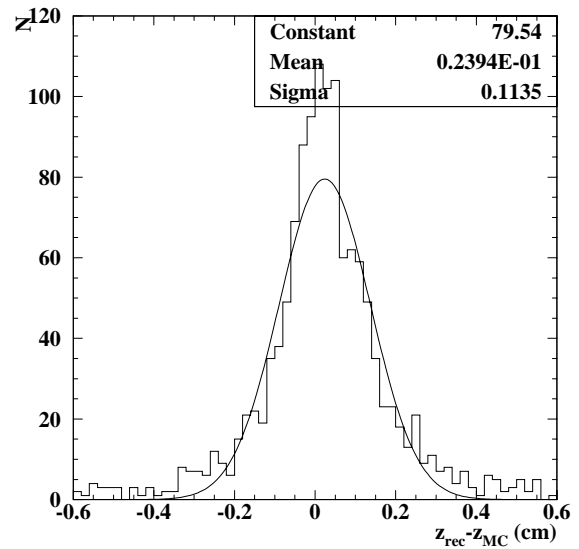
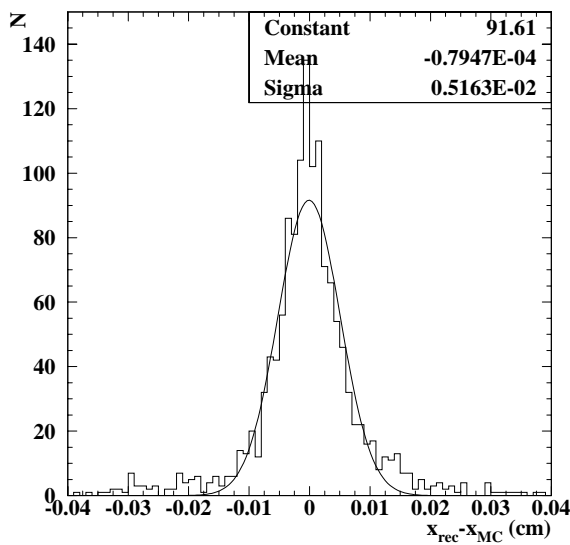


- ideal pattern recognition of tracks
- lepton fitted with  $p_{MC}$ ,  $\pi_s$  with momentum approximation
- ideal vertex recognition

particle	p (GeV)	$\langle p_T \rangle$ (Gev)	$\sigma_{x,y}$ ( $\mu\text{m}$ )	$\sigma_z$ ( $\mu\text{m}$ )
$l^+$	31.9	1.73		
$\pi_s$	3.5	0.15	65	2200

→ poor resolution in z due to low  $\pi_s$  momentum

# Lepton-Kaon Vertex



trigger lepton and kaon from  $D^0$

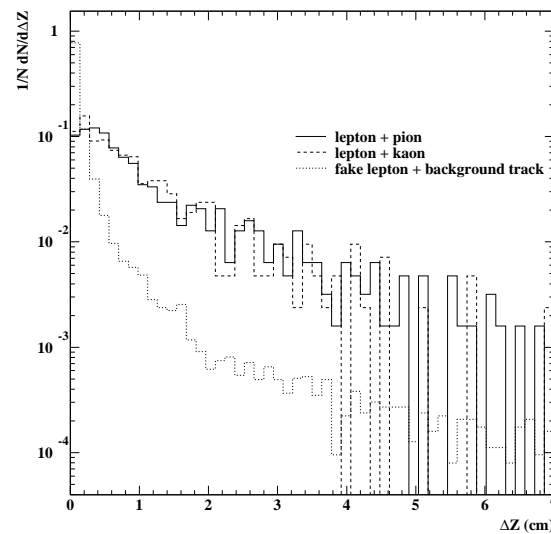
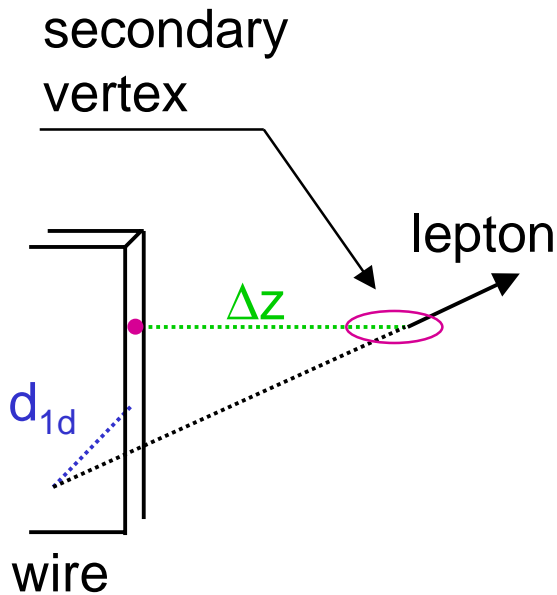
- e.g.  $D^0 \rightarrow K \pi$
- ideal recognition of tracks and vertices

particle	p (GeV)	$\langle p_T \rangle$ (Gev)	$\sigma_{x,y}$ ( $\mu\text{m}$ )	$\sigma_z$ ( $\mu\text{m}$ )
$l^+$	31.9	1.73		
K	17.5	0.84	50	1100

- reproduces position of B vertex better than the  $\pi_s$
- systematic shift in z of  $\approx 200 \mu\text{m}$



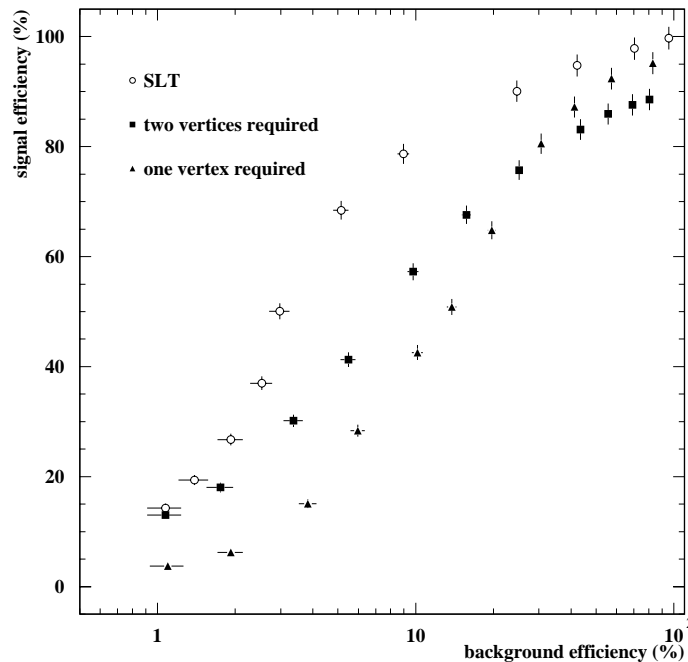
# Vertex Recognition



$DCA < 500 \mu\text{m}$

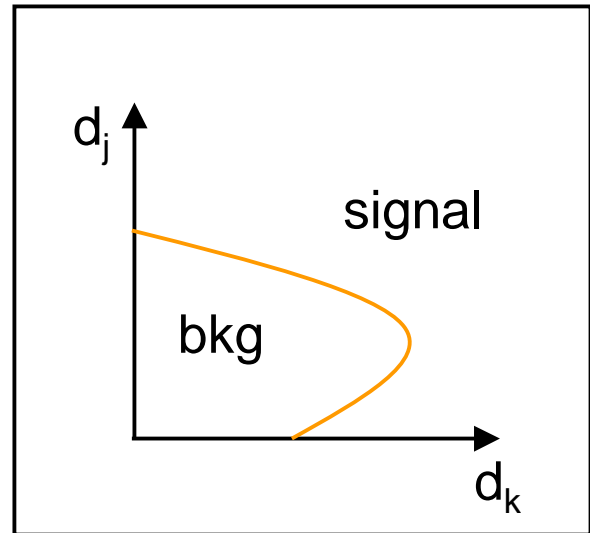
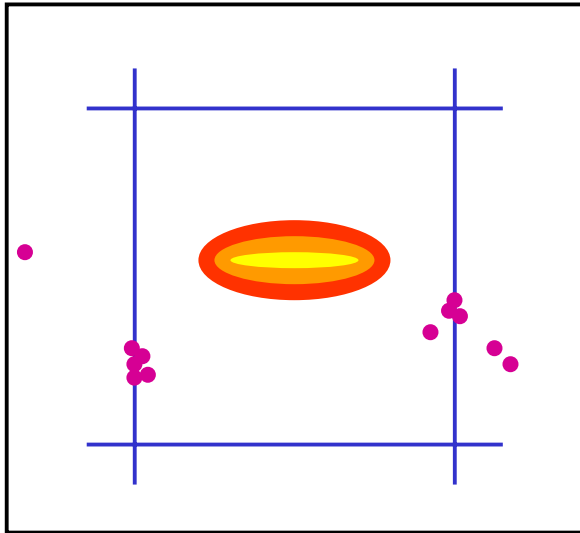
- ideal pattern recognition of tracks
- weak angular correlations between decay particles  $\rightarrow$  not exploited
- cut on DCA of particles and quality of vertex candidates
- no cut on IP of candidate tracks

# Efficiency



- accept vertices in window  $0 \leq \Delta z \leq 7$  cm
  - vary lower edge to reject fake vertices
  - SLT assumed to cut on  $\sqrt{d_1^2 + d_2^2}$
- factor 10 suppression reached for  $\Delta z > 4$  mm
- but SLT gives better signal efficiency at same background suppression

# Track Trigger



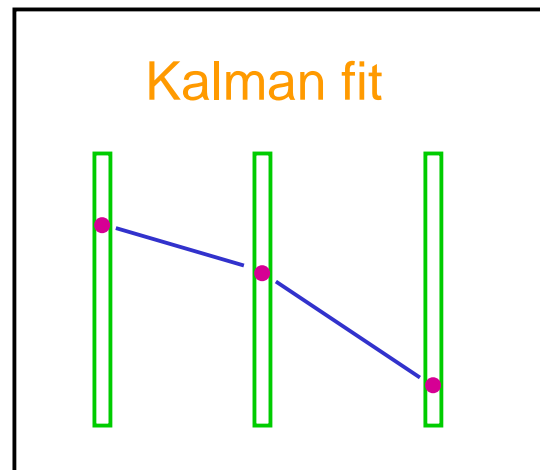
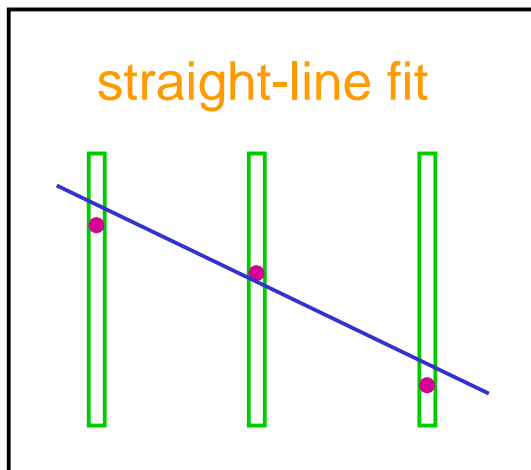
- based on measured impact parameters of additional tracks
- find a **discriminator function**

$$S = F(d_1, \dots, d_N; \sigma_1, \dots, \sigma_N; \dots)$$

- accept events with  $S > S_{\text{cut}}$  (if large  $S$  denote likely signal events)
- ansatz:

$$S = \frac{1}{N} \prod_{i=1}^N w_i \left( \frac{d_i}{\sigma(d_i)} \right)^q$$

# Track Selection

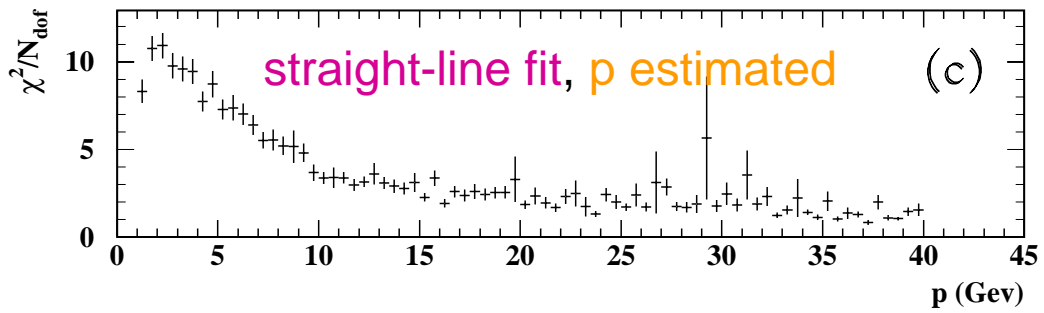
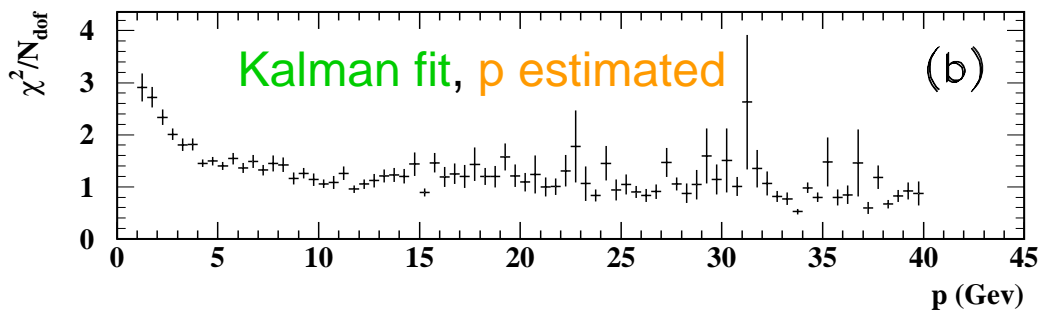
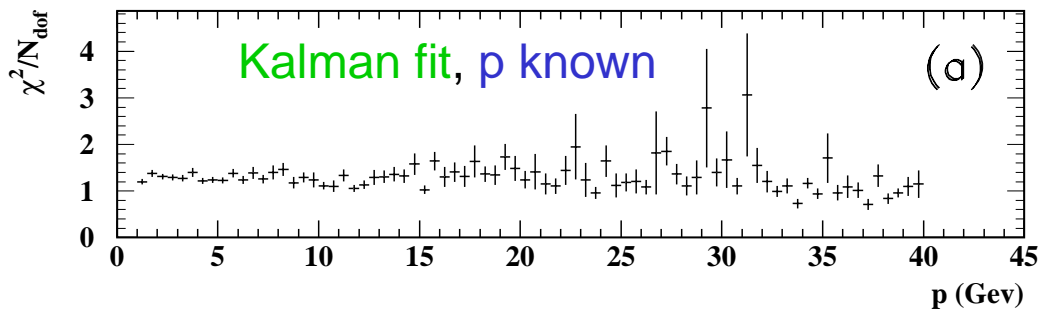


- exclude likely ghost tracks and trigger tracks from hadron decays in flight:  $d_{\max} < 1$  mm
- exclude tracks with small impact parameters (smaller than IP of trigger particles)
- empirical:  $q=1$  is a good choice
- adjust weights

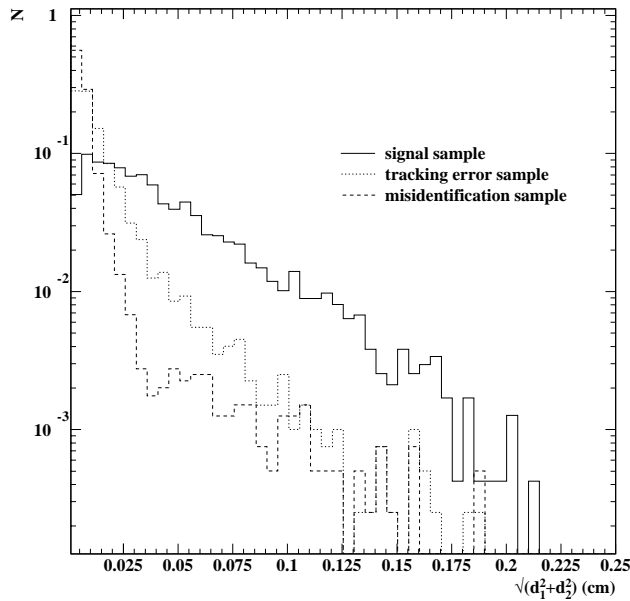
$$w_i = \frac{1}{\sqrt{\chi^2 / N_{\text{dof}}}}$$

- $\chi^2$  of straight-line fit
- Kalman fit hides multiple scattering

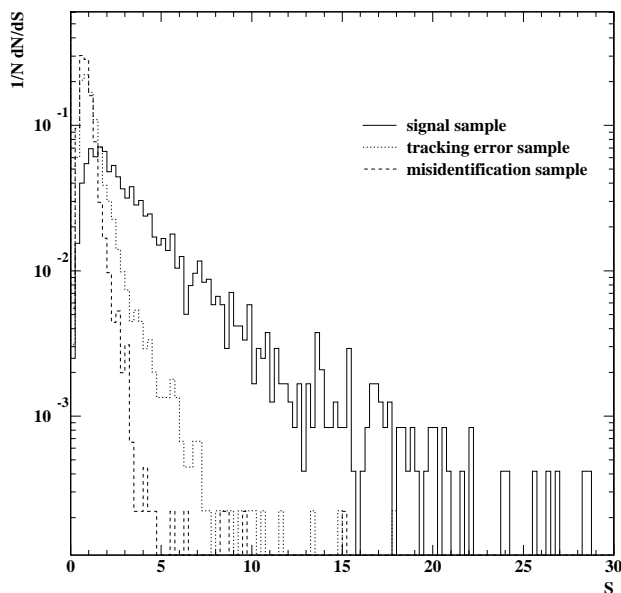
# $\chi^2/\text{dof}$



# Cut Quantities



SLT only:  
 cut on  $\sqrt{d_1^2 + d_2^2}$

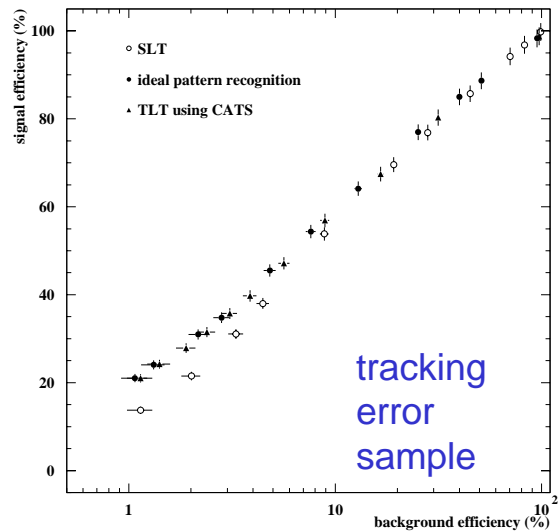
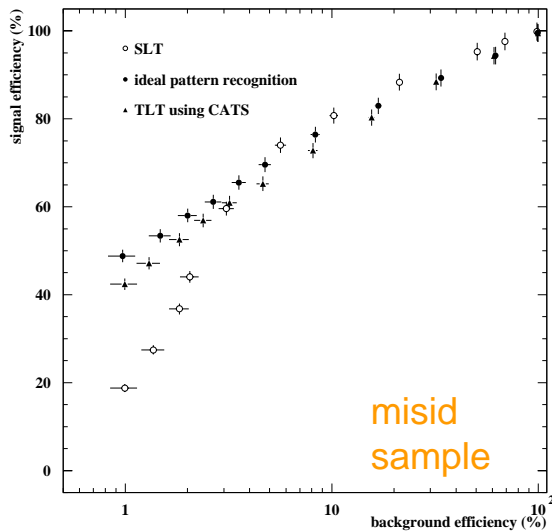


SLT and TLT:

SLT imposes no cut  
 TLT calculates S

$S(\text{signal}) = 3.8$   
 $\langle S(\text{misid}) \rangle = 0.9$   
 $\langle S(\text{track-err}) \rangle = 1.3$

# Efficiency



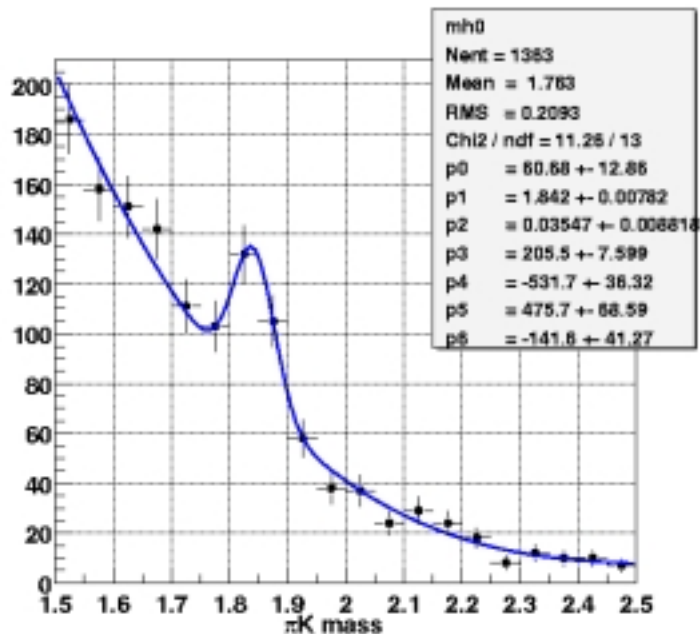
- tracking error sample is a more serious background
  - at a suppression of 10:
    - signal efficiency 75% for **misid sample**
    - signal efficiency 55% for **tracking error sample**
    - but: SLT gave all events to TLT without imposing impact parameter cut
- TLT tracks contribute at large suppressions
- efficiency grows by factor 1.5 and 3

# Conclusion

- Reconstruction of SVD tracks within 100 ms possible.
  - Missing momentum information and not accurately known material distribution in SVD do not rule out a Silicon TLT.
  - The reconstruction of the primary vertex is not of great help.
  - MC studies indicate that vertexing with SLT tracks is a less promising approach than the search for more tracks from the decays cascade of B hadrons.
  - Gain in signal efficiency depends on SLT cuts and nature of background samples.
- Silicon TLT can help to record data at increased signal efficiency.



# Outlook



D. Dujmic

- investigate other approaches (e.g. neural networks)
- data samples for TLT studies became available in this year's data taking
- study **single-lepton trigger** data
  - one muon with  $p_T > 1.2$  GeV
  - identify events with lifetime by **reconstructing charm**